

8 October 2013

Mr Richard Chadwick
General Manager
Adjudication Branch
Australian Competition and
Consumer Commission
GPO Box 3131
CANBERRA ACT 2601

Dear Sir

Application to vary rules for a certification trade mark – registration number 451318 – Australian Made, Australian Grown logo

Please find attached our proposal for changes to the Code of Practice incorporating the rules and conditions governing the use of the Australian Made, Australian Grown logo.

In the course of developing the proposed changes, we have consulted with and obtained approval of the Department of Industry which is the federal department responsible for matters relating to the logo. Our primary contact at the Department is Peta Dixon (Customs Policy Branch).

A summary of the changes is attached, together with a tracked copy of the Code showing proposed changes and a clean copy of the Code incorporating the changes.

Yours sincerely

Ian Harrison
Chief Executive

AUSTRALIAN MADE, AUSTRALIAN GROWN LOGO CODE OF PRACTICE

PROPOSED CHANGES OCTOBER 2013

The proposed changes to the Code of Practice fall into 3 areas:

- A change from budgeted sales for the licence period to actual sales for the previous 12 months as the basis for calculation of the licence fee;
- Replacement of the statutory declaration required for applications with a Warranty and Indemnity;
- Minor redrafting, corrections and updating.

CALCULATION OF LICENCE FEE

(Changes to Parts III, IV, V, VII Rule 26, and Part VIII)

Licence fees to use the logo are currently levied on licensees' estimates of sales for registered products for the current licence period. It is felt that this system encourages companies to understate their sales in order to minimise their licence fee.

A shift to actual sales figures for the previous 12 months will, it is hoped, encourage more accurate reporting. (Where products being licensed are new, the sales figure used will still be a forward estimate.)

Part VIII has been revised with examples to explain how the sales figure should be calculated.

This will be implemented to coincide with a change in the fee structure such that turnover figures will be grouped into ranges and the licence fee fixed for that range. For example, for turnover between \$10 million and \$12.5 million, the licence fee will be \$10,000.

On renewal, the licensee will no longer be required to give an exact figure; instead they will select a box with the appropriate range. It is hoped that this will be more acceptable to companies who are uncomfortable about providing financial information.

References in Part IV and Part VII Rule 26 to licensees' obligations to maintain documentary records will be updated to include records of sales of licensed products.

It is proposed that these changes to the Code of Practice will come into effect on 1 July 2014. This will allow all the changes discussed above to be implemented at the same time, bearing in mind that AMCL is required to give 6 months notice of changes to the fee structure.

REPLACEMENT OF STATUTORY DECLARATION WITH WARRANTY AND INDEMNITY

(Changes to Parts III, IV and Part VII Rules 3 and 10)

Currently the Code of Practice requires that licence applicants provide a statutory declaration regarding the compliance of their licensed products with the Code of Practice. A statutory declaration must be supplied as part of the original licence application and when any new product is added to the licence.

AMCL has been given legal advice to the effect that:

 A statutory declaration is only binding on the individual signing it; it does not bind a corporation;

- A statutory declaration is made by a person in respect of past or present facts; it cannot be used as a warranty of future behaviour (such as abiding by the Code of Practice);
- All of the items covered in the current statutory declaration could be covered by a warranty and indemnity;
- The warranty and indemnity would also cover any new products added to the licence.

Based on this advice, we feel that we should move to introduce the warranty and indemnity for both new and existing licensees. (Existing licensees would be required to execute the document as part of their licence renewal.)

SUMMARY OF SPECIFIC CHANGES

Part I – update Roy Morgan research results. Redraft paras relating to 'Australian Grown' claims. Add para re details of registered trade mark.

Part II

- reorder paras and make minor changes to text
- define relationship of Warranty and Indemnity in Appendix to Code of Practice
- effective date of changes to be 1 July 2014 to allow for adequate notice to licensees of changes and to implement administrative and IT system changes
- delete contact details as these may change from time to time. These details are printed on the back cover of the Code and are always available from the website.

Part III – revise text to reflect changes to the way fee is calculated (more detail in Part VIII) and replacement of stat dec with warranty.

Part IV

- revise text to reflect changes to the way fee is calculated (more detail in Part VIII) and replacement of stat dec with warranty.
- add para relating to licensee cooperation with compliance audit.

Part V

- alter text relating to changes to design of Logo see Rule 23.
- insert information regarding recognition of AMCL's rights in relation to the trade mark.
- bring the use of the 'Australian' descriptor into the list of representations which can be used with the logo (previously relegated to Appendix at request of Industry Dept).
- add processes to list of those not considered to be 'substantial transformation'. (In 2011, a
 list of such processes was written into the Code to prevent the use of the logo on products
 such as bacon made from imported pork, fruit juice blends made from imported
 concentrate, etc. At that time we singled out processes which under the ACCC guidelines
 would be considered as substantial transformation. The ACCC has recently advised that it is
 moving away from the issuing of industry specific guidelines. Accordingly we felt it best to

also include those processes which the ACCC guidelines had already ruled out, e.g. packaging, pasteurisation.)

update information on food labelling in line with revised Food Standard 1.2.11.

Part VII

- Rule 1 delete unnecessary information and redraft for clarity.
- Rule 3 replace reference to statutory declaration with warranty and indemnity.
- Rule 6 delete "As required under the Trade Marks Act 1995" as this is incorrect.
- Rule 10 delete reference to statutory declaration
- Rule 16 define circumstances in which AMCL may terminate a licence. Remove
 requirement for 6 months notice of termination of licence as this is impractical and
 unenforceable. Add a provision that the licence must be kept in force and appropriate fee
 paid while the licensee is running out products carrying the logo. Add a clause to cover
 revocation of the licence if not renewed within 3 months, and provision for reinstatement of
 revoked licences.
- Rule 17 expand and provide more information in relation to licensees' obligations on termination of licence.
- Rule 18 (d) rewritten to improve clarity.
- Rule 18 insert para (f) re 'Australian' representation moved from Appendix.
- Rule 18 add processes to list of those not considered to be 'substantial transformation' (as per Part V).
- Rule 23 rewrite to clarify that AMCL may alter design of logo via IP Australia process and with government approval, and may direct licensees to adopt modified version.
- Rule 29 expand with regard to licensees' obligations to cooperate with compliance audit.

Part VIII – rewritten to reflect change to way licence fee is calculated – from being based on projection of sales to being based on actual sales for previous period. Includes examples of how fee will be calculated in a range of circumstances.

Part IX – Appendix – moved to Part VII Rule 18(f).

Appendix – inclusion of standard form of Warranty and Indemnity to be provided by licensees.



AUSTRALIAN MADE, AUSTRALIAN GROWN LOGO

CODE OF PRACTICE

INCORPORATING THE
RULES AND CONDITIONS
GOVERNING THE USE OF THE
AUSTRALIAN MADE, AUSTRALIAN GROWN LOGO

June 2011Revised edition effective 1 July 2014

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PART I The Australian Made, Australian Grown Logo

The Australian Made HogoLogo certification trade mark was created by the Australian Government in 1986 to promote Australian made products in local and export markets. The triangular logo encasing a stylised kangaroo is the most recognised and trusted country of origin symbol in Australia, enjoying a 9498.8 per cent recognition level amongst Australian consumers. (Roy Morgan Research, Nov. 20092012)

In 2002, the HogoLogo was assigned to Australian Made Campaign Limited (AMCL), a not-for-profit public company established in 1999 by the Australian Chamber of Commerce & Industry (ACCI) and its network of State and Territory chambers to rejuvenate the Australian Made Campaign.

In 2007, the logoLogo was renamed the "Australian Made, Australian Grown logo" and its rules were revised to extend the use of the logo to cover fresh produce introduce an 'Australian Grown' descriptor for use with the Logo on fresh produce.

The legal protections on which the rules are based were incorporated in the Australian Consumer Law in 2011, and extended to include claims that goods are grown in a specified country (including 'Australian Grown' and similar claims).

The Australian Made, Australian Grown logo is referred to as "the Logo" in this document. A reference to the Logo may also be taken as being a reference to the graphic device or logo embodied in Australian registered trade mark 451318 which covers classes of goods 1-34.

The logoLogo may not be used by any person, other than as provided in Rule 47, without the express authority of AMCI.

PART II The Code of Practice

SCOPE OF THE CODE

This Code of Practice applies to all licensees of AMCL and to AMCL as licensor of the Logo. The Code places a series of obligations on licensees, including compliance criteria that goods in specified categories must meet for the goods to be eligible to carry the Logo; record keeping obligations; and a process for resolution of complaints and disputes. In the event of a complaint or dispute, every licensee must comply with this process. It is a condition of licence approval that an applicant agrees to be bound by all of the rules and conditions contained in this Code of Practice.

This Code of Practice in its entirety constitutes the 'rules governing the use of the certification trade mark' prescribed by Section 173 of the Trade Marks Act 1995. The Warranty and Indemnity in the Appendix is not formally a part of the rules. The warranty and indemnity required as a condition of a licence to use the logo may be varied for individual licensees by AMCL at its sole discretion, provided that every provision of the warranty and indemnity actually given by a licensee is consistent with this Code and with the Deeds of Assignment and Management of the Logo between AMCL and the Commonwealth of Australia.

This Code of Practice applies to all licensees of the Australian Made, Australian Grown Campaign and to AMCL as licensor of the logo. The Code places a series of obligations on licensees, including compliance criteria that goods in specified categories must meet for licensees to be eligible to use the logo; record keeping obligations; and a complaints and dispute resolution process that all licensees must abide by. It is a condition of licensee approval that applicants agree to be bound by all of the rules and conditions contained in this Code of Practice.

A licence to use the <u>logo_Logo</u> does not give any entitlement to be a <u>shareholder_Member</u> of <u>Australian Made</u> <u>Campaign Limited_AMCL</u>, and a licensee of <u>the Australian Made</u>, <u>Australian Grown Campaign_AMCL</u> does not, by becoming a licensee, acquire any rights, interests or other entitlements with respect to the ownership, management, administration or control of <u>Australian Made Campaign Limited_AMCL</u> or the <u>Australian Made</u>, <u>Australian Grown logo_Logo</u>.

This revised edition of the Code of Practice applies from 1 July 2014 to all existing and new licensees of AMCL and to AMCL as licensor of the Logo.

OBJECTIVES OF THE CODE

The objectives of the Code of Practice are to:

- provide information to licensees of the Australian Made, Australian Grown CampaignAMCL on their
 rights and obligations to ensure the consistent, correct usage of the Australian Made, Australian Grown
 logeLogo;
- build consumer confidence that goods promoted in association with the <u>Australian Made, Australian Grown logoLogo</u> comply with established legislative consumer information and country of origin labelling standards and promote the benefits of buying Australian goods; and
- <u>enable the Logo to be used to raise the domestic and international profile of goods that are produced in Australia.</u>

The Code of Practice does not take precedence over statutory requirements. It is the responsibility of licensees to ensure that their usage of the loge-logo does not contravene any statutory requirements.

ADMINISTRATION OF THE CODE

Australian Made Campaign Limited is responsible for the administration of the logo and the maintenance of this Code of Practice. The contact details are:

Attention: Chief Executive

Australian Made Campaign Limited

Suite 105, 161 Park Street

South Melbourne Vic 3205

Telephone: (03) 9686 1500 or 1800 350 520

Facsimile: (03) 9686 1600

Email: info@australianmade.com.au Website: www.australianmade.com.au

AMCL's contact details are available on the website www.australianmade.com.au.

AMENDMENT AND REVIEW

This Code of Practice in its entirety including the rules and conditions at Part VII(but not including the Warranty and Indemnity in the Appendix) is subject to the approval of the Australian Government, as former owner of the logoLogo. Consistent with its role under the Trade Marks Act 1995, the rules and conditions for use of the logoLogo included in this code of practice have also been considered and approved by the Australian Competition and Consumer Commission having regard to and satisfying itself in relation to competition and consumer protection principles.

The Code of Practice is lodged with IP Australia and is available for public inspection during the hours that IP Australia is open for business. AMCL may make recommendations to the Australian Government regarding the efficiency and effectiveness of the Code of Practice. The Australian Government may also review the Code of Practice periodically to determine its efficiency and effectiveness in guiding the correct usage of the loggo_loggo, and the efficiency and effectiveness with which the Code of Practice has been administered.

PART III Becoming a Licensee

Any individual, business or organisation can apply for a licence to use the logoLogo. Licence fees are payable in relation to the use of the logoLogo and are levied according to the annual budgeted sales turnover generated logo from goods promoted with the logoLogo. These goods must be identified on a product list incorporated in the licence application.

All goods promoted in association with the Logo-Logo must meet a compliance test and it is the obligation of the applicant or licensee to apply the compliance test relevant to each use of the Logo and to determine which goods can be promoted with one of the permitted origin claims and the Logo. All Every applicants for a licence are-is required to:

- -sign a statutory declaration statingexecute a warranty and indemnity confirming that the goods identified on their product list meet the compliance test that applies to the origin claim to be used on each good in conjunction with the Logo, and that their use of the logo will be in strict accordance with the rules and conditions; and to
- permit audit activity by AMCL and its audit representatives to ensure that <u>each</u> goods bearing the logoLogo meets the compliance requirements <u>applicable to the origin claim that is made</u>.

Licence applications are available from AMCL and its agents, and from the website. Applications are assessed by and subject to the approval of AMCL. In situations whereIf an applicant does not agree to abide by the Code of Practice including the rules and conditions, or does not complete the associated statutory declaration execute the required warranty and indemnity, the application will be rejected.

In instances where a licence application is rejected by AMCL, the rules and conditions provide a mechanism for independent review of the decision.

PART IV Obligations on Licensees

Becoming a licensee of the Australian Made, Australian Grown Campaign AMCL brings with it a range of obligations, as detailed in this Code of Practice. These obligations range from informational and procedural obligations that relate to the use of a certification trade mark to specific rules regarding the use of the logoLogo which have been developed to promote compliance with underlying statutory obligations. The obligations on licensees in relation to the use of the logoLogo are discussed in Part V of this Code of Practice.

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This section addresses informational and procedural obligations that apply to licensees of the Australian Made, Australian Grown Campaign.

LICENSING REQUIREMENTS

As part of the licensing process, <u>every</u> applicants must <u>execute a warranty and indemnity in which the licensee</u> <u>warrants that it will agree to</u> be bound by the Code of Practice and the rules and conditions detailed therein. Applicants must also complete a statutory declaration in relation to and further warrants the compliance of the goods identified on their product list with the rules and conditions contained in the Code. The statutory declaration is retained by AMCL.

Annual licence fees are levied on <u>all-each</u> licensees of <u>the Australian Made, Australian Grown CampaignAMCL</u> according to the annual <u>budgeted</u> sales turnover for those goods identified on the licensee's product list. Where a licensee exits the scheme voluntarily, or where <u>their-its</u> licence is suspended or revoked, these fees are forfeited to AMCL.

More information about licence fees is available in Part VIII of this document and on the website, www.australianmade.com.au.

SYSTEMS AND POLICIES FOR COMPLIANCE WITH THE RULES

In their_making application for a licence to use the Australian Made, Australian Grown logoLogo, everyall applicants must agree to abide by a range of the rules and conditions relating to record keeping, information provision, compliance monitoring and complaints and dispute resolution. Licensees-Every licensee of the Australian Made, Australian Grown CampaignAMCL should establish systems and policies to effectively meet their its obligations and ensure that their its employees and agents know their responsibilities in relation to the use of the logoLogo.

Licensees should Every licensee must advise AMCL in writing of changes to their its contact details or their product list, and must give written notice of intention to terminate a licence before its expiry date, or to not renew a licence.

THE PRODUCT LIST

The licence application incorporates a product list. The product list is a list of those goods the applicant wishes to promote with the <u>Australian Made</u>, <u>Australian Grown logoLogo</u> which meet the rules and conditions of the scheme, as well as all underlying statutory obligations. Upon acceptance of an application, AMCL retains the product list on file. If a licensee, for whatever reason, wishes to amend the product list, they should apply to AMCL. The <u>Australian Made</u>, <u>Australian Grown logoLogo</u> can be used by licensees only in association with goods identified on the product list.

COMPLAINTS AND DISPUTE RESOLUTION PROCESSES

The rules and conditions include a complaints and dispute resolution process that AMCL and all-every licensees must abide by. Licensees Every licensee should be aware that the complaints and dispute resolution process places obligations on them it in relation to the provision of information to AMCL in the compliance investigation phase and, if necessary, their its participation in the conduct of an independent compliance audit. Part VI of this Code of Practice provides more detailed information on the operation of the complaints and dispute resolution processes.

MAINTENANCE OF DOCUMENTARY RECORDS

It is a requirement of the-each licence that all-the licensees agrees to maintain sufficient documentary records to substantiate the compliance of the goods identified on their-its product list with the compliance test or tests contained in the rules and conditions, and-to-satisfy-AMCL as to the sales of licensed products. Under current legislative arrangements, a licensee may be required to provide these records to a court of law or to a regulatory agency to substantiate their-its compliance with underlying statutory obligations. Licensees-A licensee may also be required to provide these records to an independent compliance auditor from time to time.

COMPLIANCE MONITORING AND AUDITING

AMCL is required to undertake monitoring of the compliance of licensees with the rules and conditions contained in the Code of Practice. This includes an ongoing program of independent compliance auditing that places obligations on licensees in relation to the provision of documentary records. It also includes compliance investigation by AMCL and possible independent compliance auditing in relation to a dispute. Where a compliance investigation or independent compliance audit is undertaken, it must be conducted according to the rules and conditions contained at Part VII.

By entering into an agreement with AMCL to use the Logo, each licensee agrees to cooperate fully with AMCL or its representatives if it is selected for a compliance audit.

Part V Obligations in relation to the logoLogo

Licensees are subject to a range of obligations in relation to the use of the Australian Made, Australian Grown logoLogo. These are detailed in the rules and conditions contained at Part VII of this Code of Practice. These obligations are identified and discussed below.

RULES RELATING TO DESIGN, COLOUR AND SIZE

The design of the Australian Made, Australian Grown logo cannot be altered under any circumstance. A licensee or other authorised user of the Logo is not permitted to alter the design of the Logo under any circumstance. This means that licensees or other authorised users cannot make alterations to the graphic proportions of the logoLogo or its individual elements. However, the logoLogo can be sized to meet licensee a user's requirements and any colour combination can be applied to the elements of the design.

In the event that the form of the Logo is altered by AMCL (as per Rule 23), then licensees may be required by AMCL to use only the modified version of the Logo. Further, every licensee must immediately give effect to and observe any reasonable direction given by AMCL as to size and representation of the Logo.

RECOGNITION OF AMCL'S RIGHTS IN RELATION TO THE TRADE MARK

A licensee must not challenge or in any manner impugn the ownership of the Logo by AMCL (as provided in the Deeds of Assignment and Management of the Logo between AMCL and the Commonwealth of Australia) or AMCL's right to take appropriate measures for the protection of the Logo.

Every licensee acknowledges that:

- AMCL is the registered owner of the certification trade mark and holder of the exclusive rights conferred by s.20 and s.171 of the Trade Marks Act 1995; and
- A licensee has no right, title or interest in the Logo other than the rights granted by its licence.

Any licensee who becomes aware of a potential infringement of the Logo should report the matter to AMCL.

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USE OF THE LOGOLOGO

<u>Licensees A licensee</u> may only use the <u>logeLogo</u> in association with goods identified on the licensee's product list, as amended from time to time. These goods must meet <u>at least one of</u> the compliance test<u>s</u> contained in Rule 18 in Part VII of this Code of Practice. The <u>logeLogo</u> must be used with one or more appropriate representations (words printed underneath the <u>logeLogo</u>), as described in Rule 18 and 19.

There are <u>five-six</u> different groups of representations, each group having its own compliance criteria, which are detailed in Rule 18.

The five groups are:

- 1. "Product of Australia" and similar
- 2. "Australian Made", "Made in Australia" or "Manufactured in Australia"
- 3. "Australian Grown"
- 4. "Australian Grown" [qualifiedfollowed] by the name/s of the ingredient/s to which the claim relates], e.g. "Australian Grown Peas and Carrots"
- 5. "Australian Seafood"
- 5.6. "Australian".

In addition to the above, when the product is to be sold only in export markets (i.e. outside Australia), the logo may be used with the representation "Australian" providing it satisfies the criteria set out in the Appendix at Part IX of this Code.

Where a claim of "Australian Made", "Made in Australia" or "Manufactured in Australia" is used, the good must be consistent comply with the safe harbour provisions of Part 5-3 of the Australian Consumer Law being (Schedule 2 of the Competition and Consumer Act 2010), including requirements for substantial transformation and for a minimum of 50% of production costs to be incurred in Australia. To-The following information is provided to assist licensees understand these concepts:

What is substantial transformation?

The Australian Consumer Law (section 255(3)) states that for a substantial transformation to occur in a particular country, the goods must undergo a:

fundamental change in that country in form, appearance or nature such that the goods existing after the change are new and different goods from those existing before the change.

The Australian Competition and Consumer Commission has expressed the view that simple processes, such as reconstituting imported juice concentrate into fruit juice, may not constitute a substantial transformation. Similarly, the mere assembly of imported components into household or other items may not be considered to be a substantial transformation.

In relation to food products, AMCL has a more restrictive definition of substantial transformation than the one set out inthat suggested by the ACCC guidelines. For the purposes of this Code of Practice, the following processes are not considered to be substantial transformation:

- packaging or bottling
- size reduction cutting, dicing, grating, mincing, etc.
- reconstituting e.g. of fruit juice concentrate
- freezing, canning or simple preserving processes associated with packaging

- simple mixing or blending of food ingredients, where the resulting product is not substantially different to the separate ingredients
- juicing extraction of juice from fruit
- homogenisation
- pasteurisation
- seasoning
- marinating
- coating as in crumbing prawns or battering fish fillets
- pickling
- dehydrating/drying
- <u>fermentation e.g. in the production of wine, cider or salami</u>
- curing the treatment of meat with curing salts, as in ham or bacon
- roasting or toasting e.g. of coffee beans, nuts or seeds.

Elements which can be included in the cost of production/manufacture

In relation to the compliance criteria for any of the representations, the *Australian Consumer Law* (Section 256) sets out three broad categories of cost that may be considered to determine whether 50 per cent of production or manufacturing costs are is attributable to production or manufacturing processes that occurred in Australia. Costs that are eligible to be included in the calculation are:

- expenditure on materials incurred by the producer/ manufacturer in the production or manufacture of the goods;
- expenditure on labour incurred by the producer/ manufacturer that relates to the production or manufacture of the goods and can be reasonably allocated to the production or manufacture of the goods; and
- expenditure on overheads incurred by the producer/ manufacturer that relates to the production or manufacture of the goods and can reasonably be allocated to the production or manufacture of the goods.

Country of origin labelling compliance guidelines have been produced by the Australian Competition and Consumer Commission that will assist licensees to make determinations about the compliance of goods with these criteria. The booklet *Country of origin claims and the Australian Consumer Law* (2011) is available from the ACCC website, www.accc.gov.au, and from AMCL. Such guidance may be withdrawn, replaced or updated from time to time.

The Australia New Zealand Food Standards Code (the Code) contains standards to regulate food sold in Australia and in New Zealand. Standard 1.2.11 - Country of Origin Requirements sets out the requirements for country of origin labelling of packaged and certain unpackaged fish, fruit and vegetables, and porkfood products sold in Australia. The standard requires businesses to label all packaged and certain unpackaged food with their country of origin, or in the case of foods with imported ingredients, where they were packed.

Packaged food must have a label with a statement on the package that clearly identifies where the food was made_z-or produced or grown, or a statement on the package that identifies the country where the food was made, manufactured or packaged for retail sale and to the effect that the food is constituted from imported ingredients or from local and imported ingredients. For unpackaged foods that require country of origin labelling under the Code similar rules apply. A compliant country of origin statement is required regardless of any additional information provided on the label. Suppliers should exercise caution in their country of origin declarations to ensure that the representations that are made are not compromised by conflicting information.

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The Country of Origin Standard and a guide to the Standard are available on the Food Standards Australia New Zealand (FSANZ) website at www.foodstandards.gov.au. Information can also be obtained from the FSANZ Information Officer on (02) 6271 2241, or email info@foodstandards.gov.au.

If <u>an</u> applicants or licensees <u>are is</u> in doubt about their compliance with these criteria, they should seek their own legal advice.

CHANGE OF PRODUCTION PROCESS OR SOURCING OF INPUTS

COMPLIANCE WITH UNDERLYING STATUTORY OBLIGATIONS

The extent of underlying statutory requirements may depend on the corporate structure of the licensee organisation, the State or Territory of operation of the licensee's business or the existence of statutory requirements that apply to firms in specific industry sectors. Licensees If in doubt, a licensee should seek their own-legal advice to determine that their usage of the logoLogo and any representations used with the logoLogo complies with these underlying statutory obligations.

LIABILITY OF THE LICENSEE

<u>Licensees-Every licensee</u> of the Australian Made, Australian Grown Campaign <u>are is</u> liable in relation to the compliance of <u>their-its</u> usage of the <u>Australian Made, Australian Grown logoLogo</u> with underlying statutory requirements.

PART VI Consumers, Complaints and the Logo

CONSUMERS ARE A KEY STAKEHOLDER

Consumers who look for and purchase products promoted in association with the Australian Made, Australian Grown logeLogo are a key stakeholders in the Australian Made, Australian Grown Campaign. It is vital, therefore, that consumers are certain that the logeLogo is being used according to the rules and conditions detailed in this Code of Practice, and that any consumer concern about the use of the logo is addressed in an appropriate manner.

THE COMPLAINTS AND DISPUTE RESOLUTION PROCESS

Misuse of the <u>logoLogo</u>, whether by a licensee or a non-licensee, is a serious matter which could undermine the integrity and reputation of the <u>logoLogo</u> in the eyes of licensees and consumers.

AMCL undertakes to investigate all-every such complaints received, and to keep all parties to the each complaint fully informed as to its progress and outcome.

The rules and conditions provided at Part VII of this Code of Practice establish a complaints and dispute resolution process that applies to AMCL and all itsto every licensees. The process includes a compliance investigation phase, an appeals mechanism involving assessment of the complaint by AMCL and the possible initiation of an independent compliance audit to determine compliance with the rules and conditions.

PART VII Rules and Conditions

Owner of certification trade marks and approved certifier

Australian Made Campaign Limited ("AMCL"), a not-for-profit company established by the Australian Chamber of Commerce and Industry, is the registered owner of the certification trade marks in the Australian Made, Australian Grown logoincorporating the Logo [hereinafter referred to as "the logo"] and is the only person who may certify that goods in relation to the certification trade marks in the logobearing the certification trade mark meet the criteria for use of the Logo. In certain circumstances, the Australian Government, as the former owner of the certification trade marks in the logo, may, from time to time, exercise rights and perform obligations of AMCL in relation to the logoLogo.

Application for a Licence to use the logoLogo

2. Any individual, business or organisation can apply for a licence to use the logo_Logo by completing and lodging the licence application.

Approval of application for licence or renewal

- 3. AMCL will approve an application for a new licence or renewal <u>of an existing licence</u> where AMCL is satisfied that:
 - the applicant has paid the necessary fees (as detailed in accordance with the current or agreed fee scale in Part VIII of the Code);
 - ii) the applicant has received a copy of the rules and conditions relating to the use of the logoLogo and agreed to abide by the rules and conditions; and
 - iii) the applicant has signed a statutory declaration in relation to the compliance of the goods identified in the product list incorporated in the licence application with these rules and conditions including details of the listed products and how they meet the compliance criteria listed in Rule 18 executed the required warranty and indemnity; and
 - iv) taking into account the information provided in the application and any other relevant information, the products listed in the application meet the appropriate compliance criteria listed in Rule 18;
 and
 - v) the granting of such a licence is not likely to bring the logoLogo into disrepute (as per Rule 27).

Right to independent review of a decision not to grant or renew a licence

- 4. In instances where an application for a new licence or renewal is refused by AMCL, the applicant may request a review of the decision.
 - i) The principal executive officers of each party must confer within 7 days of receipt of the request for a review by AMCL to try to resolve the dispute.
 - ii) If the dispute is not resolved within 14 days, the dispute may be submitted to an independent review.
 - iii) The independent review will be conducted by a person or organisation selected by agreement between the applicant and AMCL or appointed by the Institute of Arbitrators and Mediators Australia.

- iv) The independent reviewer's fees and charges will be borne by the applicant.
- v) The independent reviewer will determine the capacity of the applicant to comply with these rules and conditions.
- vi) Where the independent reviewer determines that the applicant meets these rules and conditions, the applicant will be granted a licence and AMCL shall reimburse the applicant for any fees and charges levied by the reviewer.
- vii) Decisions of the independent reviewer are binding on all parties.

Licensee certificate

- 5. AMCL's approval of a licence application will be evidenced by issuing a certificate that is valid for the period specified on the certificate.
 - † The certificate remains the property of AMCL and must be returned promptly to it on request or on termination of the licence.

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Licensee register

- As required under the Trade Marks Act 1995, AMCL will maintain a register of the following details relating to each <u>current and former</u> licensee:
 - i) registered business name;
 - ii) trading name;
 - iii) Australian Business Number (where applicable);
 - iv) street address;
 - v) postal address;
 - vi) business telephone number;
 - vii) business facsimile number;
 - viii) nominated contact officer and title of that officer; and
 - ix) a product list being a listing of all products identified on each licensee's application, or as amended from time to time in accordance with these rules and conditions, that may be promoted in association with the logo.

Inspection of licensee register

7. The licensee register, including each licensee's product list, will be made available for inspection by any party, including members of the public, during normal business hours at the head office of AMCL.

Information on current licensees and registered products will also be made available on the Australian Made, Australian Grown Campaign MCL website. The website can be found at www.australianmade.com.au

Amendment to details on licensee register

8. All licensees shallA licensee must notify the Chief Executive of AMCL within 7 days of any change to the details relating to that licensee contained on the licensee register.

Application to remove a good from the product list

- 9. Licensees A licensee may apply to the Chief Executive of AMCL to remove a good from the product list.
 - i) Where a licensee applies to remove a good from the product list, all fees paid to AMCL in relation to that good are forfeited to AMCL.

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Application to include a new good on the product list

- 10. <u>Licensees A licensee</u> may apply to <u>the Chief Executive of AMCL</u> to include a new good on their product list. The application will be approved where AMCL is satisfied that:
 - the licensee has paid the necessary fees (<u>in accordance with the current or agreed fee scale as detailed</u> in Part VIII of the Code); and
 - ii) they have signed a statutory declaration in relation to the compliance of the new goods identified in their application with these rules and conditions; and
 - #\fill taking into account the information provided in the application and any other relevant criteria, the products listed in the application meet the appropriate compliance criteria listed in Rule 18; and
 - the granting of a licence for these products is not likely to bring the logo into disrepute (as per Rule 27).

Right of appeal against a decision not to include a new good on the product list

11. In instances where an application for inclusion of a new good on a licensee's product list is refused by AMCL, the applicant may request a review of the decision, to be conducted according to the procedures outlined in Rule 4.

Obligations on licensees

- 12. Following approval of a licence application, that licensee shall:
 - establish and maintain policies and systems to meet their obligations under these rules and conditions including, where appropriate, establishing compliance programs; and
 - ii) ensure that these policies and systems recognise all underlying statutory obligations; and
 - iii) ensure that all employees and agents are aware of these rules and conditions; and
 - iv) permit AMCL and any auditor appointed by AMCL or the Australian Government to obtain access during normal working hours to such records and to make such inspections and enquiries as are necessary to establish whether the <a href="https://logo.ncm/logo

Licensees not to misrepresent scope of licence

13. <u>Licensees A licensee</u> shall not misrepresent the scope of <u>their-its</u> licence <u>n</u>or use the <u>logoLogo</u> in any manner which contravenes the rules and conditions for its use.

Right to use the logo conferred by licence

14. The logoLogo is available for use by licensees each licensee in relation to the goods identified on their its product list, as amended from time to time in accordance with the rules and conditions, and then only where the use of the logoLogo is in accordance with these rules and conditions and where the use of the logoLogo and associated representations does not conflict with any underlying statutory obligation.

Liability in relation to use of the logoLogo

15. <u>Licensees are Every licensee is liable in relation to the compliance of their its</u> usage of the <u>Australian Made, Australian Grown logo</u>Logo.

Termination of licence

- 16. A licensee wishing to terminate or not renew a license shall give AMCL six months notice in writing and shall pay all license fees due at the date such termination takes effect.
 - a) AMCL will have the right to terminate a licence by notice in writing to a licensee upon the happening of any of the following events:
 - i) If the licensee fails to renew its licence within 3 months of the licence expiry date; or
 - ii) If the licensee ceases to carry on the business of selling the products identified on its product list: or
 - <u>iii)</u> If the licensee is found to have committed a breach of the rules and conditions set out in this Code of Practice; or
 - iv) If the licensee through any act or omission generates a circumstance that is reasonably likely to damage the reputation of the Logo; or
 - y) If the licensee challenges AMCL's rights as the registered owner of the certification trade mark.
 - b) A licensee wishing to terminate or not renew a licence shall give AMCL six months notice in writing and shall pay all licence fees due at the date such termination takes effect-;
 - c) The licence must be kept in force and the appropriate licence fee paid while the licensee is still selling products carrying the logoLogo;
 - d) If a licence has not been renewed within 3 months of its expiry date, Notwithstanding that AMCL may, under clause 16(a) (i), revoke terminate a thata licence and willmust give the former licensee written advice that this has been done. However that licence may be reinstated where the former licensee completes the required renewal process and pays the necessary fees (as detailed in Part VIII of the Code), including any outstanding amounts. Fees payable in this circumstance will be calculated as if the licence remained in force after its expiry date.

Use of logo to cease upon cancellation or Obligations of a licensee on termination of licence

- 17. Upon expiry, cancellation or termination of a licence, the licensee shall immediately cease using the logo-Following termination of a licence, the licensee:
 - a) must, within 30 days or some other period determined by AMCL, cease to use the Logo in any manner whatsoever and will not at any time thereafter use the Logo or any other name or sign that is deceptively similar to the Logo; and
 - b) must remove or cause to be removed from public display any sign, label or poster incorporating the Logo that is in the possession power or control of the licensee; and
 - c) must not hold itself out as being in any way associated with the Australian Made, Australian Grown Campaign.

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Compliance criteria relating to the use of the logoLogo

- 18. <u>Licensees-A licensee</u> may only use the <u>legoLogo</u> in relation to a good in conjunction with one or more of the representations set out in 18 (a), 18 (b), 18 (c), 18 (d) or 18 (e) and where that good meets the compliance criteria set out in 18 (a), 18 (b), 18 (c), 18 (d) or 18 (e) and where the use of the <u>logoLogo</u> or the representation does not conflict with any statutory requirement.
 - For the logo_Logo to be used in conjunction with the representation "Product of Australia" or "Australian Product":
 - i) Australia must be the country of origin of each significant ingredient or significant component of the good (as defined in Section 255 of the Australian Consumer Law); and
 - ii) all, or virtually all, processes involved in the production or manufacture of the good must have happened in Australia (as defined in Section 255 of the Australian Consumer Law).
 - b) For the legg-Logo to be used in conjunction with the representations "Australian Made", "Manufactured in Australia" or "Made in Australia":
 - the good must be substantially transformed in Australia (as defined in Section 255(3) of the Australian Consumer Law except for food products where a stricter definition as set out below applies); and
 - ii) 50 per cent or more of the cost of manufacturing and producing the good must be attributable to production or manufacturing processes that occurred in Australia (consistent with Sections 256 to 257 of the Australian Consumer Law).
 - c) For the logo Logo to be used in conjunction with the representation "Australian Grown",
 - each significant ingredient or significant component of the good must be grown in Australia (as defined in Section 255 of the Australian Consumer Law) and not exported and re-imported;
 and
 - ii) all, or virtually all, processes involved in the production or manufacture of the good must have happened in Australia (as defined in Section 255 of the Australian Consumer Law).
 - d) For the logo_Logo to be used in conjunction with the representation "Australian Grown [insert name of ingredient/s eg peas and corn, or category of ingredients, eg vegetables]":representation "Australian Grown" followed by the name of one or more ingredients (e.g. "Australian Grown Peas & Corn), or category of ingredients (e.g. "Australian Grown vegetables"),
 - 50 per cent or more of the cost of manufacturing and producing the good must be attributable to production or manufacturing processes that occurred in Australia (consistent with Sections 256 to 257 of the Australian Consumer Law); and
 - ii) 90 per cent or more of the total ingoing weight of the good must consist of ingredients or components which have been grown in Australia and/or water harvested in Australia; and

AUSTRALIAN MADE, AUSTRALIAN GROWN LOGO CODE OF PRACTICE

- iii) 50 per cent or more of the total ingoing weight of the good must consist of the ingredients, components or category of ingredients or components specified as "Australian Grown" in the representation; and
- iv) 100 per cent of each ingredient, component or category of ingredients or components specified as "Australian grown" in the representation must have been grown in Australia; and
- v) the ingredients or components specified as "Australian Grown" in the representation must not have been exported from Australia and re-imported; and
- vi) the representation "Australian Grown [insert name of ingredient/s or components or category of ingredients or components]" representation must always be used with the appropriate descriptor identifying the Australian grown component/s of the good, eg "Australian Grown Apples and Pears".
- e) For the logo-Logo to be used in conjunction with the representation "Australian Seafood",
 - i) the good must be a seafood product as defined below; and
 - ii) the good must meet the compliance criteria set out in either 18 (c) or 18 (d) above.
- f) For the Logo to be used in conjunction with the representation "Australian",
 - i) the good on which the Logo is used must be exported and not re-imported; and
 - ii) the good must meet all the criteria set out in at least one of 18 (a), 18 (b), 18 (c), 18 (d) or 18(e); and
 - iii) where the good meets the criteria under Rule 18 (b) (i.e. to use an 'Australian Made'
 representation), use of the 'Australian' representation does not give a misleading impression
 as to the origin of the major ingredients or components of the product; and
 - iv) Where a product using this representation is returned to Australia, the good must be relabelled so that it bears the appropriate representation under Rule 18(a) to (e); and
 - v) Marketing material specific to a particular product or products and carrying the Logo with the 'Australian' representation must not be used in Australia.

For the purposes of these rules:

The following processes are not considered to be substantial transformation:

- packaging or bottling
- size reduction cutting, dicing, grating, mincing, etc.
- reconstituting e.g. of fruit juice concentrate
- freezing, canning or simple preserving processes associated with packaging
- simple-mixing or blending of food ingredients, where the resulting product is not substantially different to the separate ingredients

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- juicing extraction of juice from fruit
- homogenisation
- pasteurisation
- seasoning
- marinating
- coating as in crumbing prawns or battering fish fillets
- curing the treatment of meat with curing salts, as in ham or bacon
- pickling
- dehydrating/drying
- <u>fermentation e.g. in the production of wine, cider or salami</u>
- roasting or toasting e.g. of coffee beans, nuts or seeds.

Goods, or ingredients or components of goods, are **grown** in Australia if they:

- a) are materially increased in size or materially altered in substance in Australia by natural development; or
- b) germinated or otherwise arose in, or issued in, Australia; or
- c) are harvested, extracted or otherwise derived from an organism that has been materially increased in size, or materially altered in substance, in Australia by natural development.

For the purposes of rule 18(c) and 18(d) in relation to particular goods:

- a) packaging materials are not treated as ingredients or components of the goods; and
- b) disregard the weight of packaging materials in working out the weight of the goods.

"reconstituted products" means products ready for consumption that contain ingredients that have been dried or concentrated by the evaporation of water, to which water has subsequently been added.

In the case of reconstituted goods, the water used to reconstitute these ingredients must be included in the calculation of the ingoing weight of these ingredients. Any water (whether of Australian origin or not) which is added to reconstitute an ingredient that is not of Australian origin is deemed to have the same origin as the foreign ingredient.

"seafood" means all aquatic vertebrates and aquatic invertebrates intended for human consumption, but excluding amphibians, mammals and reptiles.

"seafood product" means a processed or unprocessed good of which the sole or principal or characterising ingredient is seafood.

Use of representations in association with the logoLogo

19.

- a) Representations used in association with the logoLogo must be located directly below the logoLogo and must be legible (not less than 1.5 mm high).
- b) Notwithstanding Rule 18 and Rule 19 (a) the legoLogo may be used without a representation in the following situations only:

- i) where the Logo (without a representation) was, prior to May 2007, embedded into either the goods or the packaging in such a way as to render change difficult or unduly costly, or
- ii) where it would be impossible, due to the size of the product or other reasons, for the representation to be reproduced legibly (not less than 1.5 mm high) in immediate association with the Logo

and AMCL is satisfied that the continued use of the logoLogo without a prescribed representation is not potentially misleading or confusing to consumers.

Licensees must seek approval from AMCL to use the logo-Logo without a representation in in accordance with Rule 19(b)(i) or (ii). both cases.

In the case of 19 (b)(i) the absence of a representation must be redressed if changes to the manufacturing process or retooling occur.

In the case of 19 (b) (ii) a clear representation located elsewhere (than directly below the logoLogo) on the product may be made.

Logo to be used in relation only to products included on the product list

20. Licensees shall only use the logelogo in relation to those goods that are identified on the product list as amended from time to time.

Change to production process of a good included on the product list

21. Where there is a change to a production process so that a good included on a licensee's product list no longer meets the compliance criteria set out in Rule 18, that licensee must advise the Chief Executive of AMCL immediately and cease using the logoLogo in relation to that good.

Change to origin or costs of inputs, or other changes in relation to a good included on the product list

22. Where:

- i) the sourcing of a component of production or manufacture of a good included on a licensee's product list changes so that the good no longer meets the compliance criteria set out in rule 18; or
- ii) the costs of inputs change such that the good no longer meets the compliance criteria set out in rule 18; or
- iii) any other event occurs such that the good no longer meets the compliance criteria set out in rule 18;

the licensee must advise the Chief Executive of AMCL immediately and cease using the $\frac{logo}{Logo}$ in relation to that good.

Alteration of design of the logo Logo not permitted

- 23. AMCL, its licensees and other authorised users of the logo are not permitted to alter or amend in any way the design elements of the logo.
 - a) A licensee or other authorised user of the Logo is not permitted to alter or amend the Logo in any way.
 - b) AMCL may alter the Logo by making application to IP Australia to amend the registered trade mark. Such amendment would require the written approval of the Australian Government as former owner of the certification trade mark.

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23.c) In the event that the form of the Logo is altered by AMCL, licensees may be required by AMCL ← to use only the modified version of the Logo. Further, every licensee must immediately give effect to and observe any reasonable direction given by AMCL as to size and representation of the Logo.

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Logo may be sized to meet users' requirements

24. AMCL, its licensees and other authorised users of the legoLogo may, subject to the requirements of Rule 19, alter the size, but not the relative proportions, of the legoLogo to meet their individual requirements.

Use of colour to meet users' requirements

25. AMCL, its licensees and other authorised users of the logelogo may use any colour or colour combination in relation to the elements of the logelogo to meet their individual requirements.

Maintenance of documentary records

26. Licensees shall maintain sufficient documentary records to substantiate the compliance of the goods identified on the product list, as amended from time to time, with the compliance criteria provided at Rule 18 and to satisfy AMCL as to the sales of licensed products. These records must be made available to AMCL and its audit representatives on request, in accordance with rule 12(iv), and under current legislative arrangements, these records may need to be provided in a court of law or to regulatory authorities to substantiate compliance with underlying statutory requirements.

Registered products not to bring logoLogo into disrepute

27. AMCL may refuse to grant a licence, or may withdraw a licence previously granted, where it considers that the product or products concerned are likely to bring the logo into disrepute <a href="hyperboliogo-by-virtue-by

In such cases, the applicant or licensee may request a review of the decision, to be conducted according to the procedures outlined in Rule 4.

A licence would not be refused or withdrawn where the product is the subject of a voluntary recall resulting from faults or defects which are capable of being rectified or which involve particular batches of the product.

AMCL will include any such determinations in its annual report to the Australian Government.

Logo not to be applied outside Australia without permission

28. The <u>logoLogo</u> may not be applied to products, packaging or point of sale material where the products are packed outside of Australia except with the express permission of AMCL.

The licensee must advise AMCL when a product is to be packed overseas and must be able to demonstrate that such products meet the compliance criteria set out in Rule 18.

Independent compliance audit

29. <u>Licensees A licensee</u> may be required, from time to time, to <u>furnish relevant documentary records in accordance with rule 12 (iv) topermit audit activity by an independent compliance auditor, who will independently determine the compliance of that licensee with these rules and conditions. <u>Such activity may include interviews with the licensee and inspection of manufacturing processes and products as well as the inspection of relevant documents in accordance with Rule 12(iv). <u>Such documents Documents requested by the independent auditor</u> may include sworn statutory declarations of compliance from time to time.</u></u>

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Selection of companies for annual compliance audit

30. AMCL shall conduct an annual compliance audit, to be carried out by an independent auditor and in accordance with arrangements agreed from time to time with the Australian Government, of a sample of licensees selected at random from its licensee register.

Appointment and remuneration of independent compliance auditor

31. The independent compliance auditor will be appointed and remunerated by AMCL.

Appointment criteria for independent compliance auditor

- 32. The independent compliance auditor must meet the following appointment criteria:
 - the independent compliance auditor must hold appropriate tertiary or professional qualifications, or have appropriate industry experience; and
 - ii) the independent compliance auditor shall not be a member of the Australian Chamber of Commerce and Industry or a Member or a licensee of AMCL.

[Note: Members of AMCL as defined in the AMCL Constitution include the Foundation Members (being the Australian Chamber of Commerce and Industry and the various state and territory chambers of commerce) and the National Farmers Federation. New Members may be admitted from time to time.]

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Report of the independent compliance auditor

33. The independent compliance auditor will report to the Chief Executive of AMCL in relation to the compliance of licensees with these rules and conditions.

Decision of the independent compliance auditor is final

34. The decisions of the independent compliance auditor are final and binding on all parties.

Promotion of the logoLogo

35. AMCL will undertake such activities as it deems appropriate to promote the adoption, recognition and relevance of the <u>logoLogo</u> in the Australian community and in export markets.

36.-

Complaints and dispute resolution

37-36. AMCL and all-licenseesevery licensee shall abide by the procedures for complaints and dispute resolution as set out in these rules and conditions.

Complaints received by AMCL

38.37. Where AMCL receives a complaint about the use of the logoLogo:

- i) it will inform the complainant, in writing or by email within 14 days of receiving the complaint, what actions the complainant may themselves take to pursue the matter, including their statutory consumer rights, and what actions will be taken by AMCL to investigate and resolve the complaint.
- ii) AMCL will then initiate a compliance investigation in relation to the complaint.

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Procedure for compliance investigation in relation to complaints

39.38. AMCL shall follow the following procedures in relation to complaints:

- a) Where the complaint involves use of the logoLogo by a licensee:
 - AMCL will issue to that licensee a notice advising that a complaint has been received and describing the nature of the complaint together with a compliance investigation checklist.
 - ii) Upon receiving a compliance investigation checklist from AMCL, the licensee will respond to the Chief Executive of AMCL, in writing within 14 days, attaching the completed checklist.
 - iii) AMCL will evaluate the licensee's response to determine whether or not the complaint is valid.
 - iv) As part of the compliance investigation process, the Chief Executive of AMCL may require a licensee to undergo an independent compliance audit, conducted according to these rules and conditions.
 - v) Should the compliance investigation determine that the complaint against the licensee is valid, the sanctions detailed in these rules and conditions become available to AMCL.
- b) Where the complaint involves use of the logo by a non-licensee:
 - i) AMCL will write to the non-licensee in question setting out the circumstances under which the logoLogo may legally be used, and requesting that the non-licensee take action to rectify the situation.
 - ii) if the complaint has not been resolved within one month of the initial notice, AMCL may have recourse to other action, including legal action and referral of the complaint to appropriate state or federal hodies.

Complaints received by licensees

- 40.39. Where a licensee receives a complaint about its use of the logologo, it will respond to the complainant in writing within 14 days of receiving the complaint. The licensee will take all reasonable steps, in good faith, to resolve the complaint directly with the complainant.
 - In its response to the complainant, the licensee will explain the basis upon which the claim in question is or is not justified and what corrective action (if any) has been taken to remedy the complaint.
 - ii) A copy of the response must be provided to the Chief Executive of AMCL.

Complainant to notify AMCL of failed direct negotiation

41.40. If a complainant is dissatisfied with the outcome of their direct negotiation with a licensee in relation to a dispute, the complainant may advise the Chief Executive of AMCL that the dispute remains unresolved.

Compliance investigation in relation to an unresolved dispute



When a complainant advises the Chief Executive of AMCL that direct negotiation with a licensee has failed, AMCL will initiate a compliance investigation in relation to the unresolved dispute in accordance with the procedures set out in Rule 3638.

AMCL to report to all parties on compliance investigation

- 43.42. The Chief Executive of AMCL will, in writing and within 14 days of receiving a compliance investigation response, indicate to all parties to the dispute whether AMCLit is satisfied that the licensee is complying with these rules and conditions, what actions have been taken as a result of the compliance investigation and whether AMCL intends to pursue the matter further.
- i) If AMCL does not intend to pursue the matter further, its response to the complainant should indicate that statutory consumer rights of action may be available.

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Imposition of sanctions

44.43. A breach of these rules and conditions by a licensee constitutes immediate grounds for the imposition of appropriate sanctions by AMCL.

Sanctions available to AMCL

45.44. The following sanctions are available to AMCL:

- i) withdrawal of offending representations and/or publication of corrective statements, at the expense of the licensee and as directed by the Chief Executive of AMCL;
- ii) naming and publication of details of a breach of these rules and conditions in the annual report of AMCL;
- iii) suspension of a licence for a specified period; and
- iv) revocation termination of a licence under Rule 16.

Right of appeal against a decision to impose a sanction

46.45. In instances where a sanction is imposed on a licensee by AMCL, the licensee may request a review of the decision, to be conducted according to the procedures outlined in Rule 4.

Licence fees forfeited if licence terminated, suspended or revoked

47.46. In any instance where AMCL suspends or revokes a licence in accordance with these rules and conditions, or where a licensee voluntarily terminates their licence, all licence fees are forfeited to AMCL.

Use of the logoLogo by non-licensees for purposes other than certification of products

48.47. The logoLogo may be used by the following non-licensees for purposes other than certification of products:

 a) AMCL, its Foundation-Members and Australian Government agencies may use the logoLogo for administrative, educational, advertising and promotional purposes (provided that Australian Government agencies may not use the lege-Logo for promotion of the sale of goods except where its use for this purpose has been licensed in accordance with this Code of Practice);

[Note: Members of AMCL as defined in the AMCL Constitution include the Foundation Members (being the Australian Chamber of Commerce and Industry and the various state and territory chambers of commerce) and the National Farmers Federation. New Members may be admitted from time to time.]

- b) The Board of AMCL may authorise the use of the lege_Logo for similar purposes by specified persons or or organisations including sponsors or sponsored organisations on terms determined from time to time by the Board, consistent with the objectives of the Code of Practice.
- c) The Board of AMCL may authorise the reproduction of the logoLogo in publications such as textbooks and newspaper or magazine articles.

Rules and conditions do not take precedence over statutory obligations

49.48. These rules and conditions do not take precedence over any Commonwealth, State or Territory statutory requirement.

Lodgement of rules and conditions with IP Australia

50.49. These rules and conditions are lodged with IP Australia and are available for inspection by any person during the hours when IP Australia is open for business, as required under the Trade Marks Act 1995.

Approval by Australian Competition and Consumer Commission

51.50. Consistent with its role under the Trade Marks Act 1995, the rules and conditions for use of the logo-Logo included in this code of practice have been considered and approved by the Australian Competition and Consumer Commission having regard to and satisfying itself in relation to competition and consumer protection principles.

Amendment to rules and conditions at initiation of the Australian Government

52.51. These rules and conditions can only be amended with the written approval of the Australian Government as former owner of the certification trade marks in the logoLogo.

Amendment must be approved by Australian Competition and Consumer Commission

53-52. Any amendment to these rules and conditions must be approved by the Australian Competition and Consumer Commission and subsequently lodged with IP Australia and made available for public inspection.

Advice of amendment to rules and conditions

54-53. AMCL will advise all licensees of any change to these rules and conditions as soon as is practical.

PART VIII Fee ScheduleLicence fees

Annual licence fees for the Australian Made, Australian Grown logoLogo are based on the aggregated budgeted annual sales turnover for all products identified on the product list, as amended from time to time, that qualify for use of the logoLogo.

The fee schedule will be determined from time to time by the Board of AMCL and licensees will be given <u>not less than</u> six months' notice of any proposed changes.

AMCL will always publish and make available the fee schedule, and will publish six months' advance a notice of changes to the fee schedule at least six months before any change, including and give advice of the changes to the Australian Government at the time of publication of the notice.

The Board of AMCL may at its discretion waive or vary a licence fee in individual cases. Where AMCL seeks a licence fee greater than the scheduled amount, the applicant or licensee has the right to a review in accordance with Rule 4 (i), (ii), (iii), and (iv).

Licence fees will normally be payable for a twelve month period, but a licence for a period other than twelve months may be granted at the discretion of the BoardChief Executive of AMCL. In such cases the licence fee shall be calculated on a pro rata basis.

For new licences and renewals, the licence fee will be based on the aggregated sales turnover figure for the nominated products for the preceding 12 months. Where the products have been available for sale for less than 12 months, the turnover figure will be based on the projected sales figure for a full year.

EXAMPLES OF LICENCE FEE CALCULATION:

Example 1: Licence renewal – no changes to registered products

The licence expires on 31 December 2013. Sales of all registered products during the period 1 January 2013 to 31 December 2013 came to \$1 million. The renewal fee will be based on \$1 million turnover.

Example 2: Licence renewal – deleted products

The licence expires on 30 June 2013. Sales of all registered products during the period 1 July 2012 to 30 June 2013 came to \$750,000. However, the company is no longer selling some of its registered products, the sales of which totalled \$100,000 during the previous period. The renewal fee will be based on turnover of \$650,000 (the sales of the products remaining on the product list).

Example 3: Licence renewal – added products

The licence expires on 31 October 2013. Sales of all registered products during the period 1 November 2012 to 31 October 2013 came to \$1 million. The company applies to use the Logo on 5 additional products as part of their renewal.

 The new products have been on the market for a year or more. Sales for 1 November 2012 to 31 October 2013 came to \$400,000. The renewal fee for all registered products will be based on turnover of \$1,400,000

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• The new products have been on the market for less than 12 months (including products which have just been or are about to be placed on the market). Estimated sales for these new products over the next 12 months is \$300,000. The renewal fee for all registered products will be based on turnover of \$1,300,000

Example 4: New licence - established products

- The products proposed to be registered have been on the market for a year or more and sales for the last 12 months amounted to \$600,000. The licence fee is based on turnover of \$600,000
- The products have been on the market for less than 12 months. Estimated sales for these products over the next 12 months is \$800,000. The licence fee will be based on turnover of \$800,000.

Example 5: New licence - new products

The products on the licence application have just gone on the market or are about to be launched. Budgeted sales for the products for the next 12 months is \$250,000. The licence fee will be based on turnover of \$250,000.

Example 6: Existing licence – new products added part way through licence period

The licence expires 30 April 2014. A number of products have already been registered with a declared turnover of \$500,000. In September 2013, the company adds 3 new products. Budgeted turnover for these products over the next 12 months is \$240,000. The pro-rata figure for September to April is \$160,000.

The additional fee payable is the fee payable on the new combined turnover of \$660,000 (\$500,000 original figure plus \$160,000 pro rata new sales), minus the fee already paid.

NOTE: Where a licensee has products which are not registered to carry the Logo, sales of such products are not included in any calculation of the licence fee.

Example 7: Mix of imported and Australian products

The company has a range of imported products and a range of products which are made or grown in Australia and are registered to carry the Logo. Total sales for the company for the year amount to \$5 million. Sales of products registered to carry the logo total \$2 million. The licence fee is based on turnover of \$2 million.

PART IX Appendix: Use of logo with the representation "Australian"

The logo may be used in conjunction with the representation "Australian" where:

- (i) the good on which the logo is used is exported and not re-imported; and
- (ii) the good meets all the criteria set out in at least one of 18 (a), 18 (b), 18 (c) or 18 (d); and
- (iii) where the good meets the criteria under Rule 18 (b) (i.e. to use an 'Australian Made' representation), use of the 'Australian' representation does not give a misleading impression as to the origin of the major ingredients or components of the product.

Where a product using this representation is returned to Australia, the good must be relabelled so that it bears the appropriate representation under Rule 18.

Marketing material specific to a particular product or products and carrying the logo with the 'Australian' representation must not be used in Australia.

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Appendix: Warranty and indemnity

Every applicant for a licence to use the Logo must provide AMCL with a warranty and indemnity in the terms stipulated by AMCL. This Warranty and Indemnity document is provided for the information of licensees and potential licensees, and is

not part of the Code of Practice. AMCL reserves the right to modify the standard Warranty and Indemnity from time to								
time at its								
and Cons	umer Commission, provided that no provision of the Warranty and Indemnity required from a licensee conflicts							
	with a requirement of the Code of Practice or the Deeds of Assignment/Management of the Logo between AMCL and the							
	Commonwealth of Australia.							
Common	Commonwealth of Australia.							
Date								
<u>Dutc</u>								
т								
<u>To:</u>								
	Australian Made Campaign Limited (ACN 086 641 527) of Suite 105, 161 Park Street, South Melbourne, Victoria							
	(Licensor)							
From:								
	(Licensee)							
<u>Backgro</u>								
<u>A</u>	Under a Deed of Assignment dated 5 July 2002, the Commonwealth of Australia assigned to the Licensor ownership of a							
	certification trade mark details of which are set out in part 1 of the Schedule.							
<u>B</u>	The manner in which the Licensor is entitled to use this trade mark and the logo representations details of which are set out							
	in part 2 of the Schedule is governed by the rules contained in the "Australian Made, Australian Grown Logo Code of							
	Practice" and by the Management Deed between the Commonwealth and the Licensor entered into at the same time as the							
6	Deed of Assignment The Lieuwer is estimated to each exist and the land and the lan							
<u>C</u>	The Licensor is entitled to authorise specified persons to use the trade mark and the logo representations in accordance							
with the Code of Practice and has authorised the Licensee to engage in such use. D Pursuant to Part III of the Code of Practice, the Licensee now provides the Licensor with the following warranty and								
U	indemnity.							
Aauaad								
<u>Agreed</u>	<u>Terms</u>							
<u>1.</u>	<u>Definitions</u>							
	"Code of Practice" means the Australian Made, Australian Grown Logo Code of Practice incorporating the rules and							
	conditions governing the use of the Logo and the Trade Marks by both the Licensor and the Licensee;							
	"Logo" is the Australian Made, Australian Grown logo represented in Australian registered trade mark number 451318,							
	details of which are set out in part 1 of the Schedule; "Lead Personatations" means the loss representations details of which are set out in part 2 of the Schedule;							
	"Logo Representations" means the logo representations, details of which are set out in part 2 of the Schedule; "Products" means goods registered with the Licenser under the Code of Protice as heigh approved to beautiful Trade							
	"Products" means goods registered with the Licensor under the Code of Practice as being approved to bear the Trade Marks; and							
	THE REPORT OF THE PROPERTY OF							
	"Trade Marks" means:							
	(i) the Logo;							
	(ii)	Formatted: Left						
	(ii) Australian registered trade mark numbers 451318, details of which are set out in part 1 of the							
	Schedule;							
	(iii) the Logo Representations; and							
		Formatted: Left						
	(iV) such other registered or unregistered trade marks which the Licensor notifies in writing to the							
	Licensee from time to time.							

AUSTRALIAN MADE, AUSTRALIAN GROWN LOGO CODE OF PRACTICE

2. Warranty and indemnity

2.1 Warranty by the Licensee

In consideration of being authorised to use the Trade Marks by the Licensor and in accordance with Part III of the Code of Practice, the Licensee warrants that:

- (a) the Licensee agrees to be bound by the rules and conditions outlined in the Code of Practice;
- (b) the Licensee will ensure that Products at all times comply with the rules and conditions for the use of the Trade

 Marks as detailed in the Code of Practice and, in particular, that each of the Products meets the relevant criteria set
 out in the Code of Practice for use of the Logo with the origin claim to be made in respect of the Product;
- (c) The Licensee will maintain documentary records sufficient to demonstrate the compliance of the Products with the relevant criteria set out in the Code of Practice:
- (d) The Licensee will cooperate fully with the Licensor or its representatives if required to submit to a compliance audit or investigation in respect of its use of the Logo;
- (e) any sales information provided to the Licensor as the basis for calculation of any licence fee payable under the Code of Practice will be an accurate statement of actual sales or an honest projection of estimated sales of the Products, as the case may be; and
- (f) the person signing this warranty and indemnity is authorised to do so on behalf of the Licensee.

2.2 Indemnity by the Licensee

- (a) The Licensee must indemnify and keep indemnified the Licensor against any claims made by any third person in connection with the Licensee's use of the Trade Marks.
- (b) The Licensee will also indemnify the Licensor against all losses, costs, demands, expenses and liabilities whatsoever arising out of or referable to any circumstances which would not have arisen but for a breach of the warranties given in clause 2.1.

2.3 Continuing Obligation

The indemnity stated in clause 2.2 is a continuing obligation separate and independent from the Licensee's obligations under Code of Practice and survives the term of any authorisation to use the Trade Marks granted to the Licensee pursuant to the Code of Practice. It is not necessary for the Licensor to incur any expense or make payment before enforcing such indemnity.

3. Governing Law

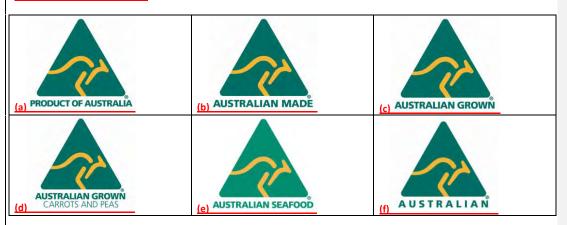
This warranty and indemnity will be construed in accordance with and be governed by the laws of the State of Victoria and the parties hereby submit to the jurisdiction of the Courts of the State of Victoria including the Federal Court of Australia.

SCHEDULE

Part 1 - Logo

Registered Trade Mark Number	<u>Description</u>	<u>Classes</u>	<u>Status</u>
<u>451318</u>	The image:	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34	Registered

Part 2 – Logo Representations



Execution

Signed for and on behalf of [Insert name of Licensee] by:

<u>Signature</u>

Name of authorised signatory:				
Position:				
In the presence of:				
	Signature of witness			
Name of witness:				



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Freecall within Australia: 1800 350 520

Facsimile: (03) 9686 1600

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